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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/912,490	07/26/2001	Donald France	2051/00002	6270
<div>Morris Liss Connolly Bove Lodge & Hutz LLP P.O. Box 19088 Washington, DC 20036-0088</div>				
			<div>EXAMINER HAVAN, THU THAO</div>	
			<div>ART UNIT 3691</div>	<div>PAPER NUMBER</div>
			<div>MAIL DATE 06/12/2007</div>	<div>DELIVERY MODE PAPER</div>

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 09/912,490	Applicant(s) FRANCE ET AL.	
	Examiner Thu Thao Havan	Art Unit 3691	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 05 April 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2,4-8 and 10-22 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-2, 4-8, and 10-22 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Amendment

Claims 1-2, 4-8, and 10-22 are pending. This action is in response to the remarks received April 5, 2007.

Response to Arguments

The rejection of claims 1-2, 4-8, and 10-22 under 35 U.S.C. 103(a) as being unpatentable by Archer (US 6,277,026) and Stoltz et al. (US 2003/0009375) is maintained.

Upon a closer examination, Applicant's arguments filed April 5, 2007 have been fully considered but they are not persuasive.

In response to the arguments concerning the previously rejected claims the following comments are made:

Applicant alleges that the prior art made of record fails to teach wherein the choice of member functions and content presented to pre-registered user members further comprises accessing a member's account for selectively determining its current balance, history of wins and loses, and subscriptions for play that are currently active. The examiner disagrees with applicant's representative since Archer teaches wherein the choice of member functions and content presented to pre-registered user members further comprises accessing a member's account for selectively determining its current balance, history of wins and loses, and subscriptions for play that are currently active (col. 9, line 34 to col. 10, line 30). Archer discloses the management system is operative to access and query (i.e.

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accessing a member's account as claimed) the lottery ticket issuance system to determine if the request code matches the secure lottery ticket purchase code, and to receive a match status message from the lottery ticket issuance system which will indicate whether the request code matches the secure lottery ticket purchase code. Also, the management system is operative to notify the lottery authority that the payoff amount may be paid to the purchaser when the request code matches the secure lottery ticket purchase code. For example, the form may ask for user profile information (e.g., name, address, telephone number, etc.), payment method (e.g., credit card, etc.) and number, a lottery ticket entry value (e.g., a PICK-3 number, a PICK-4 number, or an option to have a randomly generated number assigned, etc.).

With regards to the claims rejected as taught by Archer and Stoltz, the examiner would like to point out that the reference teaches the claimed limitations and thus provides adequate support for the claimed limitations. Therefore, the examiner maintains that Archer and Stoltz taught the claimed limitations.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims **1-2, 4-8, and 10-22** are rejected under 35 U.S.C. 103(a) as being unpatentable over Archer (US 6,277,026) in view of Stoltz et al. (US 2003/0009375).

Re claims 1 and 7, Archer teaches a method for selectively entering lottery entries into a state lottery drawing, administered by a state lottery agency, via an internet web site (col. 1, lines 36-67; col. 4, lines 5-22; figs. 1-2), the method comprising the steps of:

receiving user access at the home page of the web site (fig. 4a; col. 4, line 64 to col. 5, line 15; Archer discloses a user will point his browser software to a LSP managed web site to purchase a lottery ticket online. Thereafter, the user will select a page or option indicating his desire to purchase a lottery ticket online. His system has a web site to purchase a lottery ticket thus the web site has to have a home page for a user to purchase the ticket.);

querying users for their sign-in identification to establish whether they are pre-registered user members or non-members (col. 2, line 31 to col. 3, line 20; fig. 5b; Archer querying the members/non-members by determining if the request code matches the secure lottery ticket purchase code. This is a form of sign-in identification. He presents a verification web form to the user for him/her to verify personal data (e.g., name and address, payment data, lottery ticket entry value). This verification step determines whether the user is a member or non-member);

presenting pre-registered user members with a choice of member functions and content (col. 10, lines 8-34; col. 8, lines 40-60; Archer discloses to members choices in payment methods or purchasing of more lottery tickets);

presenting non-members with a choice of non-member functions and content (col. 7, lines 54-67; Archer discloses non-members with a choice of entering their personal information to entering into the lottery system);

communicating selected information to the state lottery agency (col. 4, lines 23-47;
Archer discloses lottery information is communicated to state-run lottery commission or
authority); and

wherein the choice of member functions and content presented to pre-registered user
members further comprises accessing a member's account for selectively determining its
current balance, history of wins and loses, and subscriptions for play that are currently active
(col. 9, line 34 to col. 10, line 30).

However, Archer does not explicitly teach providing access to a subscription
purchasing service. On the other hand, Stoltz teaches providing access to a subscription
purchasing service (para.0001, 0003-0007, and 0023-0025; figs. 1-4). He discloses
identifying in the Internet subscription service by a unique identification in relation to a
lottery. This will increase the number of participators in the lottery. As for the limitation of
access, Stoltz discloses an easily accessible lottery system to lot buyers (i.e. members and
non-members). Furthermore, he discloses purchasing service when he discloses several
lots purchased by one subscriber have been assigned one and the same identification
code. He discloses the computer system calculates and assigns a unique identification
code to a lot which has been purchased by the action of the connected subscriber. Thus, it
would have been obvious to one of ordinary skill in the art to provide access to a
subscription purchasing service in managing and increase participations in a public lottery
system and method as discloses in Stoltz.

Re claims **2** and **8**, Archer teaches member choice of functions and content include
depositing funds into the members pre-established accounts and permitting the members to

select an offered lottery game to play after a choice is made as to payment thereof (figs. 4b-4d).

Re claims **4** and **10**, Archer teaches non-members choice of functions and content includes the establishment of an account for future play (col. 7, lines 54-67). Archer discloses non-members with a choice of entering their personal information to entering into the lottery system or for future play by entering the information in the database. Thus, whenever the user wants to play then he/she can retrieve the personal identification information at a later time.

Re claims **5**, **11**, and **17-18**, Archer teaches common functions and content for members and non-members selectively includes accessing information concerning: the web site, gambling addiction, and descriptions of the games that may be played (col. 2, line 48 to col. 3, line 18; col. 1, lines 36-67).

Re claims **6** and **12**, Archer and Stoltz teach a method as claimed in claims 1 and 7 above. Therefore the rationale applied in the rejection of claims 6 and 12 applies herein. In addition, Archer discloses connecting the web server to a transaction server via a security firewall (col. 4, lines 23-47; col. 4, line 54 to col. 5, line 8; figs. 1-4a). He discloses the systems and methods enable lottery ticket sales through generation and recordation of *secure lottery ticket purchase codes* which may be used to verify the sale of a winning lottery ticket entry. As such, lottery service providers (ISPs authorized to sell lottery ticket entries) may establish and operate Internet (e.g., web) sites that encourage widespread use and repeat sales. As is known in the Internet world in relation to website, a firewall is a security system intended to protect an organization's network against external threats. Thus, Archer's

system is in an Internet with websites therefore his system has to have firewall to protect from hackers. In that his system protects customer's private information when he discloses secure identification codes (PIN).

Re claims **13-16**, Stoltz teaches subscription service provides for lottery entries of an amount and frequency selected by the user (para. 0007, 0013, 0017, and 0023; figs. 1-4). Stoltz discloses an identified subscriber code to identify the user's actions.

Re claims **19-22**, Stoltz teaches a method as claimed in claim 1 above. Therefore the rationale applied in the rejection of claim 1 applies herein.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

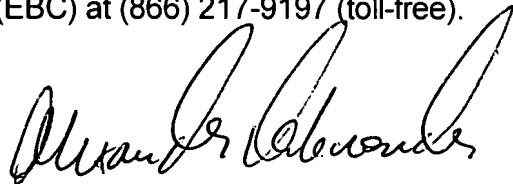
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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thu Thao Havan whose telephone number is (571) 272-8111. The examiner can normally be reached during her flextime schedule.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Alexander Kalinowski can be reached on (571) 272-6771. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct-uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at (866) 217-9197 (toll-free).

TTH
6/7/07

A handwritten signature in black ink, appearing to read "Alexander Kalinowski", written in a cursive style.

ALEXANDER KALINOWSKI
SUPERVISORY PATENT EXAMINER